

# CSDB Securities Account Opening/BBS OTC Application Form-Institutions

## To be completed in Block letters

### 1 Applicant Particulars

Name of Company / Organisation / Institution

Addresses (Postal Address\*):

Physical Address \*:

Village/Town/City\*:  Country\*:  Nationality:

Telephone\*:  Fax:  e-mail:

Date of Incorporation / Registration:  Place of Incorporation/Registration:

Registration / Certificate No:  **CSD Client ID\***:

N.B. \* denotes required/mandatory fields. *Completed forms must be accompanied by a certified copy of **Certificate of incorporation** for companies and other documents as referred to in CSDB Rules Section 6.*

### Dividend Payment Instruction

☐ Cheque or ☐ Bank / EFT

Bank Name:  Branch:  Account no.:

### Part 2, 3 and 4 to be Completed by applicant without a CSD account

#### 2. Tick 1 broker only:

☐ Stockbrokers Botswana ☐ Motswedi Securities ☐ Imara Capital Securities ☐ African Alliance Securities

### 3. DECLARATION

- i. We the undersigned, hereby request that a Securities Account be opened and maintained in the CSDB in our name(s).
- ii. We aver that we have full legal capacity and authority to open a CSDB account.
- iii. We affirm that funds used or to be used for the purchase of Securities through our Securities Account are not and shall not be funds derived from any money laundering activity or funds generated from terrorist, financial crime or any other illegal activity.
- iv. We aver that the undersigned Participant has full legal standing and full authority to intermediate and or conduct business with the CSDB on our behalf.
- v. We shall ensure compliance with CSDB Rules and procedures that may be in force from time to time and agree to be bound by such CSDB Rules.
- vi. We undertake to notify the undermentioned Participant of any change of particulars or information provided by us in this form.
- vii. We do hereby verify that the information provided above is true and accurate to the best of our knowledge and belief.

For and on behalf of the APPLICANT

Date .....

.....  
AUTHURISED SIGNATURE / SIGNATORIES

### 4. CERTIFICATE OF RESOLUTION

I .....hereby certify that the following resolution of the Board of Directors of  
..... Was passed at a duly convened meeting of the Board held on..... at which  
the quorum was present.

"It WAS RESOLVED that a Securities Account for the Company / Organization / Institution be opened with the Central Securities Depository Botswana Limited., ("CSDB") and be operated in accordance with the Terms and Conditions of the Depository in force from time to time"

certified true extract of minutes

.....  
DIRECTOR / SECRETARY

### 5. For Participant use only

### For CSDB use only